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PTO/SB/21 (09-04)

TRANSMITTAL FORM

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Total Number of Pages in This Submission

| | |
|------------------------|--------------------|
| Application Number | 10/783,713 |
| Filing Date | February 20, 2004 |
| First Named Inventor | Wade, Lawrence A. |
| Art Unit | 1754 |
| Examiner Name | Raetzsch, Alvin T. |
| Attorney Docket Number | 020859-003010US |

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard PTO Form SB/08A and SB/08B Copy of EP Search Report Copy of 2 cited references |
|---|---|--|

Remarks The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|--------------|------------------------------------|
| Firm Name | Townsend and Townsend and Crew LLP |
| Signature | <i>[Handwritten Signature]</i> |
| Printed name | Kent J. Tobin |
| Date | April 27, 2006 |

Reg. No. 39,496

CERTIFICATE OF TRANSMISSION/MAILING

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Susan R. Aikins

Date

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On April 27, 2006

TOWNSEND and TOWNSEND and CREW LLP

By:



Susan R. Aikins



PATENT
Attorney Docket No.: 020859-003010US
Client Reference No.: CIT-3851

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Lawrence A. Wade et al.

Application No.: 10/783,713

Filed: February 20, 2004

For: SELECTIVE
FUNCTIONALIZATION OF CARBON
NANOTUBE TIPS ALLOWING
FABRICATION OF NEW CLASSES OF
NANOSCALE SENSING AND
MANIPULATION TOOLS

Confirmation No.: 5592

Examiner: Raetzsch, Alvin

Art Unit: 1754

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER
37 CFR §1.97 and §1.98

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the Search/Examination report corresponding to the European application.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

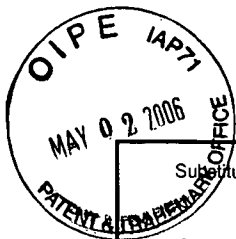
Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Kent J. Tobin
Reg. No. 39,496

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|---|---|----|---|--------------------------|-------------------|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | Complete if Known | |
| | | | | Application Number | 10/783,713 |
| | | | | Filing Date | February 20, 2004 |
| | | | | First Named Inventor | Wade, Lawrence A. |
| | | | | Art Unit | 1754 |
| | | | | Examiner Name | Raetzsch, Alvin |
| Sheet | 1 | of | 1 | Attorney Docket Number | 020859-003010US |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| /JS/ | 1 | US-2002-01222766 | 09-05-2002 | Lieber et al. | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|-----------------------|---------------------------|---------------------|-----------------------------------|--------------------------------|--|---|--------------------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ² |
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| /JS/ | 2 | WO | 09/05920 | | 02-12-1998 | Colbert et al. | | <input type="checkbox"/> |

| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|-----------------------|---|--------------------------|
| Examiner Initials * | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| /JS/ | 3 | SHIM et al. Functionalization of Carbon Nanotubes for Biocompatibility and Biomolecular Recognition, Nano Lett, January 2002, Vol. 2, No. 4, pages 285-288 | <input type="checkbox"/> |

| | | | |
|--------------------|-----------------|-----------------|------------|
| Examiner Signature | /Johnnie Smith/ | Date Considered | 06/21/2008 |
|--------------------|-----------------|-----------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.